

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Frazier et al.**

Serial No.: **09/692,341**

Filed: **October 19, 2000**

For: **Method and Apparatus for Ensuring Scalable Mastership During Initialization of a System Area Network**

§  
§ Group Art Unit: **2144**  
§  
§ Examiner: **Kapadia, Milan S.**  
§  
§ Attorney Docket No.: **AUS9-2000-0633-US1**  
§  
§

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
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Alexandria, VA 22313-1450

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APR 20 2004

Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

0/2004 CCHAU1 00000143 09692341

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180.00 DP

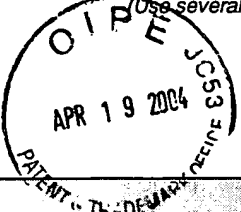
This Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance. A check for the required fee of \$180.00 as set forth in § 1.17(p) is enclosed.

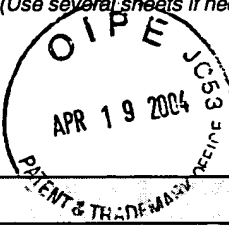
Date: 3/30/04

Respectfully submitted,



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<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS9-2000-0633-US1</b>		SERIAL NO. 09/692,341 <div style="text-align: center; font-size: 2em; font-weight: bold;">RECEIVED</div> <div style="text-align: center;">APR 20 2004</div>	
			APPLICANT <b>Frazier et al.</b> <div style="text-align: right; font-size: 1.2em;">Technology Center 2100</div>			
			FILING DATE <b>October 19, 2000</b>		GROUP ART UNIT <b>2144</b>	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA 5,729,686	Mar. 17, 1998	Heck et al.	395/200.38	Feb. 2, 1996	
	AB 5,951,683	Sep. 14, 1999	Yuuki et al.	713/1	Dec. 19, 1997	
	AC 6,199,133 B1	Mar. 6, 2001	Schnell	710/110	Mar. 29, 1996	
	AD 6,192,397 B1	Feb. 20, 2001	Thompson	709/209	May 19, 1997	
	AE 5,793,968	Aug. 11, 1998	Gregerson et al.	395/200.39	Mar. 10, 1997	
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	AG 4,939,752	Jul. 3, 1990	Literati et al.	375/107	May 31, 1989	
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	AY 6,496,503 B1	Dec. 17, 2002	Pelissier et al.	370/389	Jun. 1, 1999	
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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			APPLICANT <b>Frazier et al.</b>		<b>RECEIVED</b>  <b>APR 20 2004</b>	
			FILING DATE <b>October 19, 2000</b>		GROUP ART UNIT <b>2144</b>	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AZ 4,951,225	Aug. 21, 1990	Lee et al.	364/513	Nov. 14, 1988	
	BA 6,269,396 B1	Jul. 31, 2001	Shah et al.	709/223	Dec. 11, 1998	
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<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	BK WO 00/72159	Nov. 30, 2000	WIPO	G06F 13/00		X
<b>OTHER PRIOR ART</b> <i>(including author, title, date, pertinent page, etc.)</i>						
	BL	Nehmer et al, "A Fault Tolerance Approach for Distributed ISDN Control Systems", ACM SIGOPS European Workshop, Proceedings of the fourth workshop on ACM SIGOPS European workshop, 1990, Bologna, Italy, 1990, pp. 1-4.				
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						